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Kim M. Ramsey

8-1-05  
JC04 Rec'd PTO - 1 AUG 2005 #2

ATTORNEY DOCKET NO. 9778-030

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of: Kamran Eshraghian, et al.

Confirmation No. 9056

Serial No.: 10/533,413

Examiner: Not Yet Assigned

Filed: April 29, 2005

Group Art Unit: 3663

For: MULTIPOINT OPTICAL AMPLIFIER WITH VCSEL PUMP  
ARRAY AND GAIN CONTROL

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

This Information Disclosure Statement is submitted:

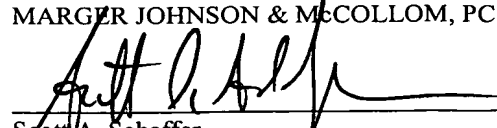
- ☒ under 37 CFR 1.97 (b); or  
(Within three months of filing national application; or date of entry of International application; or before mailing date of first office action on the merits; or before the mailing of a first Office Action after the filing of a request for continued examination under §1.114; whichever occurs last)
- ☐ under 37 CFR 1.97 (c) together with either a:  
☐ Statement under 37 CFR 1.97 (e), or  
☐ a \$180 fee under 37 CFR 1.17 (p); or  
(After mailing of first Office Action, but prior to Notice of Allowance or Final Office Action)
- ☐ under 37 CFR 1.97 (d) together with:  
☐ Statement under 37 CFR 1.97 (e), and  
☐ a \$180.00 fee set forth in 37 CFR 1.17 (p).  
(Filed after final action or notice of allowance, whichever occurs first, but before payment of the issue fee)
- ☒ Applicants submit herewith Form PTO 1449 - Information Disclosure Citation together with copies of foreign patents, publications or other information of which applicants are aware, which applicants believe may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56.

It is requested that the information disclosed herein be made of record in this application. Any deficiency or overpayment should be charged or credited to Deposit Account No. 13-1703. A duplicate copy of this sheet is enclosed.

Customer No. 20575

Respectfully submitted,

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ARRAY AND GAIN CONTROLINFORMATION DISCLOSURE CITATION  
FORM PTO-1449 (Modified)U.S. PATENT DOCUMENTS

<u>Exam Init</u>	<u>Ref</u>	<u>Document Number</u>	<u>Issue/Publication Date</u>	<u>Name</u>	<u>Class</u>	<u>Sub Class</u>
	1	6,407,854	06-18-2002	Shum		
	2	5,301,201	04-05-1994	Dutta, et al.		
	3	2003/0112498	06-19-2003	Nikonov, et al.		
	4	2002/0027706	03-07-2002	Park, et al.		

FOREIGN PATENT DOCUMENTS

<u>Exam Init</u>	<u>Ref</u>	<u>Document Number</u>	<u>Publication Date</u>	<u>Country/Region</u>	<u>Name</u>
	5	WO 01/67563	09-13-2001	PCT	Novalux, Inc.

OTHER DOCUMENTS

<u>Exam Init</u>	<u>Ref</u>	<u>Author, Title, Date, Pertinent Pages, Etc.)</u>

Examiner: \_\_\_\_\_

Date Considered: \_\_\_\_\_